

Guide to Answering Program Implementation Questions In Compliance Reports for 2015-2016

The format of the program implementation questions for Title I, Part A, Title I, Part D and School Choice Option (Title IX) was changed in 2014-2015. On the compliance report the types of possible auditable documentation are listed for each program implementation question. When selecting the appropriate checkbox if the LEA does not have all the auditable documentation as it is listed on the compliance report follow one of the 2 options listed below:

1. LEA selects the checkbox and comments on Part 10: Additional LEA Data the question number and the documentation that does not apply to the LEA; or
2. LEA selects the "other" checkbox and lists the auditable documentation readily available upon request.

Example:

Option 1:

Program Coordination/Integration	
1.	<p>The LEA coordinates and integrates Title I, Part A, services with other educational services in the LEA or individual school, such as Head Start, Even Start, Reading First, Early Reading First, and other preschool programs, and services for children with limited English proficiency or with disabilities, migratory children, neglected or delinquent youth, Indian children served under Part A of Title VII, homeless children, and immigrant children in order to increase program effectiveness, to eliminate duplication, and to reduce fragmentation of the instructional program. [P.L. 107-110, Section 1112(b)(1)(E)]</p> <div style="text-align: right;"> <input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A </div>
A. If compliance status is Yes, check all that apply. Checking a box ensures the documentation is on file and readily available upon request:	
<input checked="" type="checkbox"/> Meeting agendas, sign-in sheets, and meeting notes from LEA planning process <input type="checkbox"/> LEA plan showing program descriptions and outlining use of funds <input type="checkbox"/> Other: <div style="border: 1px solid black; height: 15px; width: 100%; margin-top: 5px;"></div>	
Part 10: Additional LEA Data (Optional)	
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#1 - LEA does not have meeting agendas	

Option 2:

Program Coordination/Integration	
1.	<p>The LEA coordinates and integrates Title I, Part A, services with other educational services in the LEA or individual school, such as Head Start, Even Start, Reading First, Early Reading First, and other preschool programs, and services for children with limited English proficiency or with disabilities, migratory children, neglected or delinquent youth, Indian children served under Part A of Title VII, homeless children, and immigrant children in order to increase program effectiveness, to eliminate duplication, and to reduce fragmentation of the instructional program. [P.L. 107-110, Section 1112(b)(1)(E)]</p> <div style="text-align: right;"> <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A </div>
A. If compliance status is Yes, check all that apply. Checking a box ensures the documentation is on file and readily available upon request:	
<input type="checkbox"/> Meeting agendas, sign-in sheets, and meeting notes from LEA planning process <input type="checkbox"/> LEA plan showing program descriptions and outlining use of funds <input checked="" type="checkbox"/> Other: <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">Sign-in sheets and meeting notes</div>	

Title I, Part A

Program Implementation Question	Compliance Status Response
Program Coordination/Integration	
<p>1. The LEA coordinates and integrates Title I, Part A, services with other educational services in the LEA or individual school, such as Head Start, Even Start, Reading First, Early Reading First, and other preschool programs, and services for children with limited English proficiency or with disabilities, migratory children, neglected or delinquent youth, Indian children served under Part A of Title VII, homeless children, and immigrant children in order to increase program effectiveness, to eliminate duplication, and to reduce fragmentation of the instructional program.</p> <p>[P.L. 107-110, Section 1112(b)(1)(E)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>Given that most LEAs operate one or more other educational services or programs in addition to Title I, Part A and have one or more of the student populations described, it is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>2. The LEA coordinates Title I, Part A, services with Title I, Part C, services in order to increase program effectiveness, to eliminate duplication, and to reduce fragmentation of the instructional program.</p> <p>[P.L. 107-110, Section 1112(b)(1)(E)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>The only reason for this question to be marked “NA” would be that the LEA does not receive Title I, Part C funds.</p> <p>In this case, check the box in Part 9.2.B that states “The LEA does not receive Title I, Part C funds.”</p>
Needs Assessment	
<p>3. For Title I, Part A, schoolwide program, the campus conducts a comprehensive needs assessment of the entire school.</p> <p>[P.L. 107-110, Section 1114(b)(1)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>The only reason this question would be marked “NA” would be that the LEA only operates Targeted Assistance programs under Title I, Part A.</p> <p>In this case, check the box in Part 9.3.B that states “The LEA only operates Targeted Assistance programs.”</p>
<p>4. For a Title I, Part A, targeted assistance program, the LEA identifies students not older than age 21 who have the greatest need for special assistance and who are failing or most at risk of failing to meet the State’s student academic achievement standards.</p> <p>[P.L. 107-110, Section 1115(b)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>The only reason this question would be marked “NA” would be that the LEA only operates Schoolwide programs under Title I, Part A.</p> <p>In this case, check the box in Part 9.4.B that states “The LEA only operates Schoolwide programs.”</p>
<p>5. The LEA conducts a comprehensive needs assessment that includes an assessment of local needs for professional development and hiring. This assessment includes the participation of teachers, including Title I,</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p>

Guide to Program Implementation Questions—Title I, Part A

Program Implementation Question	Compliance Status Response
<p>Part A teachers, and takes into account the activities that need to be conducted in order to give teachers the means, including subject matter knowledge and teaching skills, and to give principals the instructional leadership skills to help teachers, to provide students with the opportunity to meet challenging state and local student academic achievement standards.</p> <p>[P.L. 107-110, Section 2122(c)(2)]</p>	<p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
Parental Involvement	
<p>6. The LEA has a written parent involvement policy that is developed jointly with, agreed upon by, and distributed to, parents of participating students.</p> <p>[P.L. 107-110, Section 1118(a)(2)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>7. Each Title I, Part A campus has a written parent involvement policy that is developed jointly with, agreed upon by, and distributed to parents of participating students.</p> <p>[P.L. 107-110, Section 1118(b)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>8. Each Title I, Part A, campus convenes an annual meeting to notify parents of their school's participation in the Title I program, to explain the program requirements, and to inform parents of their right to be involved.</p> <p>[P.L. 107-110, Section 1118(c)(1)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>9. The LEA has School-Parent compacts at each Title I, Part A, campus that outline how the parents, the entire school staff, and the students share the responsibility for improved student achievement and by what means the school and parents will build and develop a partnership to help children achieve the State's high standards.</p> <p>[P.L. 107-110, Section 1118(d)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>10. The Title I, Part A, LEA and campuses educate teachers, pupil services personnel, principals, and other staff members, with the assistance of parents, in the value and utility of the contributions of parents.</p> <p>[P.L. 107-110, Section 1118(e)(3)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>11. The LEA provides communications about the Title I, Part A, program in a format, and to the extent practicable, in a language that parents can understand.</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify</p>

Guide to Program Implementation Questions—Title I, Part A

Program Implementation Question	Compliance Status Response
[P.L. 107-110, Sections 1111, 1118(e)(5), and 1118 (f)]	a compliance status of “NA” in response to this question.
<p>12. Each Title I, Part A, campus provides, to each individual parent, information on the level of achievement of the parent’s child in each of the required state academic assessments.</p> <p>[P.L. 107-110, Section 1111(h)(6)(A-B)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>13. Each Title I, Part A, campus provides timely notice, to each individual parent, if the child has been assigned to or taught by a teacher who is not highly qualified for four or more consecutive weeks.</p> <p>[P.L. 107-110, Section 1111(h)(6)(A-B)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>An LEA could justify a compliance status of “N/A” in response to this question if the LEA did not assign a teacher for four or more weeks who did not meet the highly qualified requirements.</p> <p>In this case, check the box in Part 9.13.B that states “The LEA did not assign a teacher for four or more weeks who did not meet the highly qualified requirements.”</p>
<p>14. The LEA ensures that parents of students in Title I schools are informed of their right to request and receive information on the qualifications of their children’s teachers.</p> <p>[P.L. 107-110, Section 1111(h)(6)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p> <p>This is an LEA responsibility, although it may be that the LEA requires each Title I, Part A campus to notify the parents concerning their right to request information.</p>
Program Evaluation	
<p>15. The LEA has a written parent involvement policy and conducts, with the involvement of parents, an annual evaluation of the content and effectiveness of the parental involvement policy toward improving the academic quality of Title I, Part A, schools.</p> <p>[P.L. 107-110, Section 1118(a)(2)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>16. The LEA academically assessed Title I, Part A, services provided to participating private schools as agreed upon during consultation, and these results were used to improve services to private schools.</p> <p>[P.L. 107-110, Sections 1120(b)(1)(D) and 9501(c)(1)(D)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>The only reason an LEA could justify a compliance status of “NA” in response to this question would be that the LEA has no participating private non-profit schools.</p> <p>In this case, check the box in Part 9.16.B that states “The LEA does not have participating private non-profit schools.”</p>
Private Nonprofit Services	
17. The LEA’s consultation with participating private	On the compliance report, select the type of auditable documentation

Guide to Program Implementation Questions—Title I, Part A

Program Implementation Question	Compliance Status Response
<p>nonprofit school officials regarding the development and implementation of the Title I, Part A, program was timely and meaningful. It occurred before the LEA made any decision that affected the opportunities of eligible private school children, teachers, and other educational personnel to participate in the program, and continued throughout the implementation and assessment of program activities.</p> <p>[P.L. 107-110, Sections 1120(a), 1120(b)(2)]</p>	<p>that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>The only reason an LEA could justify a compliance status of “NA” in response to this question would be that the LEA has no participating private non-profit schools.</p> <p>In this case, check the box in Part 9.17.B that states “The LEA does not have participating private non-profit schools.”</p>
Highly Qualified Plan	
<p>18. The LEA publicly reported the annual progress of the LEA as a whole and of each of its campuses in meeting the highly qualified teacher’s requirements.</p> <p>[P.L. 107-110, Section 1119 (b)(1)(A)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>19. The LEA has ensured that each campus has a highly qualified teacher plan that includes strategies to ensure that teachers who are not highly qualified in all core academic subject areas taught become highly qualified within a reasonable timeframe.</p> <p>[P.L. 107-110, Section 2122 (b)(10)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>20. Does the LEA have on file for each Title I, Part A campus a written attestation signed by the principal stating that the principal understands the requirements of Section 1119 and the current status of his/her campus with respect to meeting those requirements?</p> <p>[P.L. 107-110, Section 1119(i)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>

Title I, Part C

Program Implementation Question	Compliance Status Response
Program Coordination/Integration	
<p>1. Did the LEA make adequate provisions for serving the unmet educational needs of preschool migrant children?</p> <p>[P.L. 107-110, Section 1304 (b)(1) and (c)(4)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Examples of documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Enrollment report from NGS (e.g., district or campus report) showing number of preschool-aged migrant children; • Documentation showing number of preschool migrant children served by early childhood program (district-based program, home-based program, Teaching and Mentoring Communities (TMC), Head Start, etc.); • Documentation of efforts to provide services to preschool-aged migrant children not being served through other sources. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>The only reason an LEA could justify a compliance status of “NA” in response to this question would be that the LEA had no preschool-aged migrant children identified in the New Generation System (NGS).</p> <p>In this case, the LEA should write the following: “The LEA had <u>no</u> preschool-aged migrant children identified in the New Generation System (NGS).”</p>
Needs Assessment	
<p>2. Did the LEA give service priority to migrant children who were failing or most at risk of failing to meet the State's content and performance standards and whose education had been interrupted during the regular school year?</p> <p>[P.L. 107-110, Sections 1301 (2), 1304 (d)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Examples of documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Priority for Service (PFS) Report and an Individual Supplemental Programs Report from NGS which lists supplemental services being provided to the PFS students (Note: If the Supplemental Programs Report is submitted, the district must highlight the names of the PFS students);

Program Implementation Question	Compliance Status Response
	<ul style="list-style-type: none"> • District PFS student progress records; • District PFS Student Education Plans; • District PFS Student Action Plans; • District PFS Reporting Forms; • Documentation that MEP-funded services are provided to the PFS students first. <p>If the PFS students are already being served appropriately by other programs, such as Title I, Part A, the migrant service requirement may be met by providing migrant services coordination. In this case, the LEA should answer “Yes” and be prepared to provide appropriate documentation.</p> <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>The only reason that an LEA could justify a compliance status of “NA” in response to this question would be that the district had no PFS children identified in NGS during the school year.</p> <p>In this case, the LEA should write the following: “The LEA had <u>no</u> PFS children identified in NGS during the school year.”</p>
<p>3. Did the LEA identify and address the educational needs of migrant children through a needs assessment and outline a comprehensive plan for the delivery of services?</p> <p>[P.L. 107-110, Section 1306(a)(1)(A)-(G)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Examples of documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • A completed version of the Texas MEP local needs assessment (LNA) tool; • A copy of the LEA’s Comprehensive Needs Assessment (CNA), if it includes a migrant-specific component; • A copy of the District Improvement Plan showing the migrant-specific section; • Documentation showing how the LEA is conducting the activities approved on the PS3103 of the Consolidated Application for Funding. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>

Program Implementation Question	Compliance Status Response
Parental Involvement	
<p>4. Did the LEA establish a parent advisory council (PAC) for the migrant program and provide opportunity for appropriate consultation in the planning, implementation, and evaluation of the LEA's migrant program?</p> <p>[P.L. 107-110, Sections 1304(c)(3); 1306(a)(1)(B)(ii); and 1118]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Examples of documentation that an LEA might be able to list in support of a compliance status of "Yes" include:</p> <ul style="list-style-type: none"> • Agendas for migrant parent advisory council meetings; • Meeting notes for migrant parent advisory council meetings; • Minutes for migrant parent advisory council meetings; • Sign-in sheets for migrant parent advisory council meetings; • Documentation that meetings were offered at times that migrant parents could attend, and that the meetings were conducted in a language that the parents could understand, or that translation was provided as needed; • Documentation that the meetings allowed for meaningful consultation with and input from migrant parents concerning the planning, implementation and evaluation of the program. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>One reason that an LEA receiving these funds could justify a compliance status of "NA" in response to this question is if it had documentation to show that efforts were made to establish a PAC, but due to factors such as extreme geographical distance, low numbers of identified migrant families within the LEA, etc., a PAC was not established.</p>

Program Implementation Question	Compliance Status Response
Program Evaluation/Instructional Services	
<p>5. Did the LEA evaluate and improve the effectiveness of the migrant program to enable all migrant students to meet the same challenging State content and performance standards that all Texas children are expected to meet?</p> <p>[P.L. 107-110, Section 1304 (b)(1), (b)(2) and (c)(5)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Examples of documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • A copy of the migrant program evaluation conducted for activities approved on the PS3103. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
Program Evaluation/Support Services	
<p>6. Did the LEA evaluate all support services provided by the Migrant Education Program?</p> <p>[P.L. 107-110, Section 1304 (b)(1), (b)(2), and (c)(5)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Examples of documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Student surveys; • Parent surveys; • Student progress reports; • A copy of the migrant program evaluation conducted for activities approved on the PS3103. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>7. Did the LEA use support services evaluation results (as described in question #6) to improve services to its migrant students?</p> <p>[P.L. 107-110, Section 1304 (b)(1), (b)(2), and (c)(5)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Examples of documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • A copy of the revised District Improvement Plan showing the migrant-specific section with additional services offered to the migrant students;

Program Implementation Question	Compliance Status Response
	<ul style="list-style-type: none"> • List of improved services offered to the migrant students; • Any other document showing how the results were used to improve services to migrant students; <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
Private Nonprofit Schools	
<p>8. Did the LEA conduct timely and meaningful consultation with participating private nonprofit school officials regarding the implementation of the migrant program?</p> <p>Note: The consultation must have occurred before the LEA made any decision that affected the opportunities of eligible private school children, teachers, and other educational personnel to participate in the program, and continued throughout the implementation and assessment of the program activities.</p> <p>[P.L. 107-110, Section 9501]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Examples of documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Documentation of consultation process showing that it occurred before the LEA made any decisions that affected participation opportunities of eligible private school children or teachers; • Meeting notes showing that all required topics were included in the consultation: <ul style="list-style-type: none"> ○ how the needs of children and teachers will be identified; ○ what services will be offered; ○ how, where, and by whom the services will be provided; ○ how the services will be assessed and how the results of the assessment will be used to improve those services; ○ the size and scope of the equitable services; ○ the amount of funds available for those services; and ○ how and when the LEA will make decisions about the delivery of services; ○ a thorough consideration and analysis of the views of the private school officials on the provision of contract services through potential third-party providers. • Documentation showing that consultation continued throughout the implementation and assessment of the program activities. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>The only reason an LEA could justify a compliance status of “NA” in response to this question would be that the LEA had <u>no</u> participating private non-profit schools.</p>

Guide to Program Implementation Questions—Title I, Part C

Program Implementation Question	Compliance Status Response
<p>9. Did the LEA academically assess the Title I, Part C services provided to participating private schools as agreed upon during consultation?</p> <p>[P.L. 107-110, Sections 1120(b)(1)(D); 9501(c)(1)(D)]</p>	<p>Examples of documentation that an LEA might be able to <u>list</u> in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Documentation of consultation process showing that the LEA discussed the assessment process with the private school officials; • Documentation that the results of the assessment were used to improve services to private schools. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>The only reason an LEA could justify a compliance status of “NA” in response to this question would be that the LEA had no participating private non-profit schools.</p>
<p>10. Did the LEA use the Title I, Part C services assessment results (as described in question #9) to improve services to private schools?</p> <p>[P.L. 107-110, Sections 1120(b)(1)(D); 9501(c)(1)(D)]</p>	<p>Examples of documentation that an LEA might be able to <u>list</u> in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Documentation of consultation process showing that the LEA discussed the assessment process with the private school officials; • Documentation that the results of the assessment were used to improve services to private schools. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>The only reason an LEA could justify a compliance status of “NA” in response to this question would be that the LEA had no participating private non-profit schools.</p>
<p>11. Did the LEA maintain control of Title I, Part C program funds being used to provide equitable services to private school migrant students and their teachers?</p> <p>[P.L. 107-110, Section 9501]</p>	<p>To support a “Yes” response, the LEA should have written procedures for approving and processing expenditures related to Title I, Part C services to private schools, as well as accounting records showing the approved expenditures according to the LEA’s written procedures.</p> <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>The only reason an LEA could justify a compliance status of “NA” in response to this question would be that the LEA had no participating private non-profit schools.</p>

Guide to Program Implementation Questions—Title I, Part C

Program Implementation Question	Compliance Status Response
Use of Funds	
<p>12. Did the LEA consolidate Title I, Part C funds in a schoolwide program?</p> <p>[P.L. 107-110, Section 1304(c)(1)]</p>	<p>If “Yes” is selected, the LEA must submit a copy of the written approval from TEA, and in the response box, the LEA must provide a description of how the funds were only used to carry out activities authorized under the MEP.</p> <p>The only way that an LEA could justify a compliance status of “NA” in response to this question would be if the LEA did not consolidate <u>all</u> of its MEP funds in Title I, Part A schoolwide campus budgets.</p> <p>In this case, the LEA should write the following: <i>“The LEA did not consolidate Title I, Part C funds in a schoolwide program.”</i></p> <p>To be eligible to consolidate MEP funds in this manner, the LEA would have had to obtain special permission from TEA. For the 2015-2016 school year, <u>no LEAs obtained this permission.</u></p>
<p>13. Did the LEA ensure that all MEP-funded services and activities were supplemental?</p> <p>[P.L. 107-110, Section 1304(c)(2)]</p>	<p>Examples of documentation the LEA might be able to <u>list</u> to support a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • District and campus improvement plans showing the migrant-specific section; • Job descriptions for MEP-funded personnel. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>14. Were all MEP-funded supplies, materials, and equipment used only for MEP activities and to the benefit of MEP students?</p> <p>[P.L. 107-110, Section 1304(c)(1)]</p>	<p>Examples of documentation the LEA might be able to <u>list</u> to support a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • District and campus improvement plans showing the migrant-specific section; • Purchase requisitions; • Inventories; • Logs on use of equipment; • List of participants. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p>

Program Implementation Question	Compliance Status Response
	<p>The LEA could justify a response of “NA” if MEP funds were used <u>only</u> for personnel performing MEP-related duties, and the LEA had <u>no</u> MEP-funded supplies, materials or equipment.</p> <p>In this case, the LEA should write the following: “MEP funds were used <u>only</u> for personnel performing MEP-related duties and the LEA had <u>no</u> MEP-funded supplies, materials or equipment.”</p>
<p>15. Did all Title I, Part C staff who were split-funded with other funds maintain appropriate time and effort records? [EDGAR Cost Principles as appropriate]</p>	<p>To support a “Yes” response, the LEA should have documentation for charges to payroll, as required in the applicable EDGAR Cost Principles, such as a list of split-funded personnel and copies of their time and effort sheets.</p> <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>The LEA could justify a response of “NA” only if the LEA had <u>no</u> Title I, Part C staff who were split-funded with other funds.</p> <p>In this case, the LEA should write the following: “The LEA had no Title I, Part C staff who were split-funded with other funds.”</p>
Records Transfer	
<p>16. Did the LEA have local policies and procedures in place to ensure that migrant student records were requested and transferred in a timely manner? [P.L. 107-110, Section 1304(b)(3)]</p>	<p>Examples of documentation the LEA might be able to <u>list</u> to support a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Local written district procedures for sharing and/or obtaining migrant student records with/from other districts and states; • Transfer of Student Records Request Log; • Records Request Form; • Copies of e-mails and faxes concerning the sharing of student records, etc. <p>If compliance status is No, LEA must explain the reason for noncompliance.</p> <p>The only case in which an LEA could justify a response of “NA” to this question would be if the LEA served no migrant students during the 2015-201 school year and had no request for records from another LEA. This would be a VERY rare response for an LEA that receives MEP funds.</p>

Title I, Part D

Questions 1-2 and Questions 9-11 are for LEAs that receive Title I, Part D, Subpart 2 funds.

Program Implementation Question	Compliance Status Response
Program Coordination/Integration – Subpart 2	
<p>1. The LEA has a formal, written agreement with each local facility it served under Title I, Part D, Subpart 2 and did the agreement address the program that was provided by the LEA, as well as the responsibilities of the facility.</p> <p>[P.L. 107-110, Section 1425]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>2. Title I, Part D, Subpart 2 data are collected, disaggregated, and evaluated to show the program's impact on the ability of participants to:</p> <ul style="list-style-type: none"> • maintain and improve educational achievement; • accrue school credits that meet State requirements for grade promotion and secondary school graduation; • make the transition to a regular program or other educational program operated by a district; • complete secondary school (or secondary school equivalency requirements) and obtain employment after leaving the facility; • as appropriate, to participate in postsecondary education and job training programs. <p>[P.L. 107-110, Section 1431(a)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>

Note that Questions 3-8 of this section are only applicable to State Agencies that receive funding under Title I, Part D, Subpart 1. In Texas, these Agencies are the Texas Juvenile Justice Department and the Windham School District. LEAs will not see these questions on their PR 2000 form.

Program Implementation Question	Compliance Status Response
Use of Funds – Subpart 1	
<p>3. Was the State Agency's use of Title I, Part D, Subpart 1 funds supplemental to the regular education program?</p> <p>According to statute, a Title I, Part D, Subpart 1 program that supplements the number of hours of instruction students receive from State and local sources shall be considered to comply with the supplement, not supplant requirement of section 1120A</p>	<p>On the compliance report, select the type of auditable documentation that the State Agency has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that a State Agency receiving these funds could justify a compliance status of “NA” in response to this question.</p>

Guide to Program Implementation Questions—Title I, Part D

Program Implementation Question	Compliance Status Response
<p>without regard to the subject areas in which instruction is given during those hours.</p> <p>[P.L. 107-110, Section 1415(b)]</p>	
<p>4. In making Title I, Part D, Subpart 1 services available to children and youth in adult correctional institutions, did the State Agency give priority to children and youth who were likely to complete incarceration within a 2-year period?</p> <p>[P.L. 107-110, Section 1414(c)(2)]</p>	<p>On the compliance report, select the type of auditable documentation that the State Agency has readily available upon request to support a compliance status of “Yes”.</p> <p>The only instance in which the State Agency could justify a response of “NA” would be if the State Agency operated <u>no</u> adult correctional institutions.</p> <p>In this case, check the box in Part 7.4.B that states “The State Agency does not operate any adult correctional institutions.”</p>
<p>5. Did the State Agency maintain appropriate time and effort records for staff who were paid in whole or in part with Title I, Part D, Subpart 1 funds?</p> <p>[EDGAR Cost Principles]</p>	<p>On the compliance report, select the type of auditable documentation that the State Agency has readily available upon request to support a compliance status of “Yes”.</p> <p>The State Agency could justify a response of “NA” only if it had <u>no</u> staff paid with Title I, Part D, Subpart 1 funds.</p> <p>In this case, check the box in Part 7.5.B that states “The State Agency has not staff paid out of Title I, Part D, Subpart 1.”</p>
<p>6. Does the State Agency have, for each campus that operates an Institution-wide Program under §1416, a comprehensive plan that meets the requirements of §1416?</p> <p>[P.L. 107-110, Section 1416]</p>	<p>On the compliance report, select the type of auditable documentation that the State Agency has readily available upon request to support a compliance status of “Yes”.</p> <p>The State Agency could justify a response of “NA” to this question only if the State Agency had <u>no</u> campus that operated an Institution-wide Program under Title I, Part D, Subpart 1.</p> <p>In this case, check the box in Part 7.6.B that states “The State Agency has no campus that operates an institution-wide program for Title I, Part D, Subpart 1.”</p>
<p>7. Did the State Agency reserve not less than 15% and not more than 30% of its Title I, Part D, Subpart 1 entitlement for Transition Services, as described in §1418?</p> <p>[P.L. 107-110, Section 1418]</p>	<p>On the compliance report, select the type of documentation that the State Agency has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that a State Agency receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>8. Did the State Agency evaluate the effectiveness of its Title I, Part D, Subpart 1 program at least annually and use the evaluation results, as well as longitudinal studies to make improvements to the program?</p> <p>[P.L. 107-110, Section 1431]</p>	<p>On the compliance report, select the type of auditable documentation that the State Agency has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that a State Agency receiving these funds could justify a compliance status of “NA” in response to this question.</p>

Questions 1-2 and Questions 9-11 are for LEAs that receive Title I, Part D, Subpart 2 funds.

Program Implementation Question	Compliance Status Response
Use of Funds – Subpart 2	
<p>9. Did the LEA use Title I, Part D, Subpart 2 funds only for authorized purposes:</p> <p>To support the operation of local educational agency programs that involve collaboration with locally operated correctional facilities—</p> <p>(1) to carry out high-quality education programs to prepare children and youth for secondary school completion, training, employment, or further education;</p> <p>(2) to provide activities to facilitate the transition of such children and youth from the correctional program to further education or employment; and</p> <p>(3) to operate programs in local schools for children and youth returning from correctional facilities, and programs which may serve at-risk children and youth.</p> <p>[P.L. 107-110, Section 1421]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>10. Did the LEA maintain appropriate time and effort records for staff who were paid in whole or in part with Title I, Part D, Subpart 2 funds?</p> <p>[EDGAR Cost Principles]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>The LEA could justify a response of “NA” only if it had <u>no</u> staff paid with Title I, Part D, Subpart 2 funds.</p> <p>In this case, check the box in Part 7.10.B that states “The LEA has no staff paid out of Title I, Part D, Subpart 2.”</p>
<p>11. Did the LEA operate a program of support for students returning from a facility for the delinquent to a school operated by the LEA?</p> <p>[P.L. 107-110, Section 1422(b)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of “Yes”.</p> <p>The only instance in which the LEA could justify an “NA” response would be if more than 30% of students attending the school operated at the facility will reside outside the area served by the LEA when they leave the facility.</p> <p>In this case, check the box in Part 7.11.B that states “The LEA had more than 30% of students attending the school operated at the facility resided outside the area served by the LEA when they left the facility.”</p>

Title II, Part A

Program Implementation Question	Compliance Status Response
Program Coordination/Integration	
<p>1. The LEA coordinated the use of Title II, Part A, with Title I, Part A, funding to provide professional development for teachers and principals and other appropriate staff, for parental involvement and teacher/paraprofessional qualifications.</p> <p>[P.L. 107-110, Section 1112(b)(1)(D)]</p>	<p>Documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Description in LEA plan that includes training in parental involvement strategies and activities to help teachers and paraprofessionals meet HQ requirements, showing coordination between fund sources. <p>Please note that “coordination” includes <u>planning</u> in order to ensure that the professional development needs of teachers principals, and other staff are met—not necessarily that Title II, Part A funds were used to provide that professional development. The coordination among fund sources (i.e., planning—not necessarily funding) must occur if the LEA receives Title II, Part A funds. Do not mark “No” or “NA” simply because the LEA used all of its Title II, Part A funds for class-size reduction. Answer the question based on the <u>coordination</u> that can be documented by the LEA.</p> <p>The only reason an LEA could justify a compliance status of “NA” in response to this question would be that the LEA does not receive one of these fund sources.</p> <p>In this case, the LEA should write the following: <i>“The LEA does not receive Title I, Part A funding.”</i></p>
<p>2. The LEA coordinated with teachers, paraprofessionals, principals, other relevant school personnel, and parents in planning Title II, Part A, program activities and preparing the LEA application for funding.</p> <p>[P.L. 107-110, Section 2122(b)(7)]</p>	<p>Documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Agendas, meeting notes or minutes, sign-in sheets for planning activities related to the development of the Title II, Part A program and application for funding, showing the participation of teachers, paraprofessionals, principals, other relevant school personnel, and parents. <p>Please note that “coordinating” with the identified groups of staff in planning Title II, Part A program activities is required.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
<p>3. The LEA coordinated professional-development activities funded under Title II, Part A, with professional development activities funded under other Federal, State, and local programs.</p> <p>[P.L. 107-110, Section 2122(b)(4)]</p>	<p>Documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Description of professional development activities in LEA plan, showing coordination between fund sources. <p>Again, please note that “coordination” includes <u>planning</u> in order to ensure</p>

Program Implementation Question	Compliance Status Response
	<p>that the professional development needs of teachers principals, and other staff are met—not necessarily that Title II, Part A funds were used to provide that professional development. The coordination among fund sources (i.e., planning—not necessarily funding) must occur if the LEA receives Title II, Part A funds. Do not mark “No” or “NA” simply because the LEA used all of its Title II, Part A funds for class-size reduction. Answer the question based on the <u>coordination</u> that can be documented by the LEA.</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “NA” in response to this question.</p>
Needs Assessment	
<p>4. Based on an assessment of local needs for professional development and hiring, the LEA targeted Title II, Part A, funds to schools within the LEA that:</p> <p>(a) have the lowest proportion of highly qualified teachers,</p> <p>(b) have the largest average class size, or</p> <p>(c) are identified for school improvement under Title I, Part A.</p> <p>[P.L. 107-110, Section 2122(b)(3) and (c)]</p>	<p>To support a “Yes,” an LEA should have documentation that shows how Title II, Part A funds were distributed to campuses using one or more of the required criteria.</p> <p>The <u>only</u> acceptable “NA” reasons are:</p> <ul style="list-style-type: none"> • The LEA is a one-campus LEA (such as some charter schools and other small one-campus LEAs that are K-8 or K-12 LEAs all in one campus); • All teachers are HQT on all campuses, AND all campuses have equal class sizes or all campuses have no significant differences in class-size. <p>If this is the situation in the LEA, the LEA must report all three statements to support the response of “NA”.</p> <p>In this case, the LEA should write the following: “A <i>ll teachers are HQT on all campuses and all campuses have equal class sizes or all campuses have no significant differences in class size.”</i></p> <p>Note that simply being a single attendance area does NOT exempt an LEA from this requirement.</p>
Private Nonprofit Services	
<p>5. The LEA's consultation with participating private nonprofit school officials regarding the development and implementation of the Title II, Part A program was timely and meaningful. It occurred before the LEA made any decision that affected the opportunities of eligible private school teachers and other educational personnel to participate in the program, and continued throughout the implementation and assessment of program activities.</p>	<p>Documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Documentation of consultation process showing that it occurred before the LEA made any decisions that affected participation opportunities of eligible private school children or teachers; • Meeting notes showing that all required topics were included in the consultation: <ul style="list-style-type: none"> ○ how the needs of children and teachers will be identified; ○ what services will be offered;

Program Implementation Question	Compliance Status Response
<p>[P.L. 107-110, Section 9501]</p>	<ul style="list-style-type: none"> ○ how, where, and by whom the services will be provided; ○ how the services will be assessed and how the results of the assessment will be used to improve those services; ○ the size and scope of the equitable services; ○ the amount of funds available for those services; and ○ how and when the LEA will make decisions about the delivery of services. ○ a thorough consideration and analysis of the views of the private school officials on the provision of contract services through potential third-party providers; <ul style="list-style-type: none"> ● Documentation showing that consultation continued throughout the implementation and assessment of the program activities. <p>The only reason an LEA could justify a compliance status of “NA” in response to this question would be that the LEA has no participating private non-profit schools.</p> <p>In this case, the LEA should write the following: <i>“The LEA does not have participating private non-profit schools.”</i></p>
<p>Use of Funds</p>	
<p>6. Teachers paid with Title II, Part A funds for class-size reduction meet the HQ teacher requirements. [P.L. 107-110, Section 2123(a)(2)(B)]</p>	<p>If the LEA or campus used Title II, Part A funds to pay teachers that were hired to reduce class size, or if the LEA has a Title I, Part A schoolwide campus that combined its Title II, Part A funds in its schoolwide campus budget, this question does apply. In order to answer “Yes,” the LEA must be able to list the following items as available documentation:</p> <ul style="list-style-type: none"> ● HQ documentation for all teachers on a Title I schoolwide campus; ● HQ documentation for all teachers hired for class-size reduction purposes who were paid with Title II, Part A funds. <p>An LEA would mark “NA” only if the LEA used no Title II, Part A funds to hire teachers for class-size reduction, <u>AND</u> the LEA had no Title I, Part A schoolwide campuses that combined Title II, Part A funds in the campus budget.</p> <p>In this case, the LEA should write the following: <i>“The LEA did not pay to hire teachers for class size reduction with Title II, Part A and LEA did not combine Title I, Part A schoolwide campuses budgets with Title II, Part A.”</i></p>

Title III, Part A

Program Implementation Question	Compliance Status Response
Needs Assessment	
<p>1. Did the LEA determine that all teachers in Title III language instructional programs for LEP children are fluent in both English and any other language used for instruction, including having written and oral communication skills?</p> <p>[P.L. 107-110, Section 3116(c)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Documentation showing that teachers who are providing bilingual instruction are fluent in English and the other language used for instruction. [See Title III Teacher Fluency section of Application Instructions for 2015-2016 for examples of methods for ensuring fluency.] <p>The only reasons why an LEA should mark “N/A” for this question are if the LEA was not required to provide a bilingual program (as per statewide requirements for bilingual instruction) <u>or</u> if it was required to provide one but had a TEA Bilingual Exception in effect for the 2015-2016 school year.</p>
Parental Involvement	
<p>2. Did the LEA implement an effective means of outreach to parents of LEP/immigrant students to inform the parents of how they can be involved in the education of their children and be active participants in assisting their children to attain English proficiency, achieve at high levels in core academic subjects, and meet challenging State standards expected of all students?</p> <p><i>Note:</i> Parental outreach activities should even be supplemental to Title I, Part A.</p> <p>[P.L. 107-110, Section 3302(e)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p> <ul style="list-style-type: none"> • Documentation of activities conducted for parents of LEP/immigrant students that include the dissemination of information or discussion of at-home activities or strategies specifically related to how the parents can be involved in their children’s education and how they can support their children’s efforts to attain fluency in English. <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of “N/A” in response to this question.</p>
Private Nonprofit School Services	
<p>3. Did the LEA conduct a timely and meaningful consultation with participating private nonprofit school officials regarding the development and implementation of the Title III, Part A program?</p> <p>Note: The consultation must have occurred before the</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>Documentation that an LEA might be able to list in support of a compliance status of “Yes” include:</p>

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Program Implementation Question	Compliance Status Response
<p>LEA made any decision that affected the opportunities of the eligible private school children, teachers and other educational personnel to participate in the program, and continued throughout the implementation and assessment of program activities.</p> <p>[P.L. 107-110, Section 9501]</p>	<ul style="list-style-type: none"> • Documentation of consultation process showing that it occurred before the LEA made any decisions that affected participation opportunities of eligible private school children or teachers; • Meeting notes showing that all required topics were included in the consultation: <ul style="list-style-type: none"> ○ how children will be identified as limited English proficient (LEP) and by whom; ○ how the needs of children and teachers will be identified; ○ what services will be offered; ○ how, where, and by whom the services will be provided; ○ how the services will be assessed and how the results of the assessment will be used to improve those services; ○ the size and scope of the equitable services; ○ the amount of funds available for those services; and ○ how and when the LEA will make decisions about the delivery of services; ○ a thorough consideration and analysis of the views of the private school officials on the provision of contract services through potential third-party providers. • Documentation showing that consultation continued throughout the implementation and assessment of the program activities. <p>The only reason an LEA could justify a compliance status of “N/A” in response to this question would be that the LEA <u>did not have</u> any participating private non-profit schools. The LEA should write the following: “LEA did not have any participating nonprofit schools in the Title III, Part A program.”</p>
Administrative Costs – LEP Program	
<p>4. Did the LEA meet the statutory 2% limitation on administrative costs related to the implementation of the Title III, Part A – LEP program?</p> <p>[P.L. 107-110, Section 3115(b)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>To support a “Yes” response, the LEA should have Title III, Part A – LEP budget documents detailing program and administrative costs.</p> <p>The only reason an LEA could just justify a compliance status of “N/A” would be that the LEA <u>did not have</u> any administrative costs related to the implementation of the Title III, Part A – LEP program. The LEA should write the following: “LEA did not use Title III, Part A – LEP funds for administrative costs.”</p>
<p>5. When calculating administrative costs for the Title III, Part A – LEP program, did the LEA include all appropriate administrative costs, including both</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>To support a “Yes” response, the LEA should have Title III, Part A—LEP</p>

Guide to Program Implementation Questions—Title III, Part A

Program Implementation Question	Compliance Status Response
indirect and direct costs such as administrative salaries? [P.L. 107-110, Section 9201]	budget documents detailing program and administrative costs. The only reason an LEA could just justify a compliance status of “N/A” would be that the LEA <u>did not have</u> administrative costs related to the implementation of the Title III, Part A – LEP program. The LEA should write the following: “LEA did not use Title III, Part A – LEP funds for administrative costs.”
6. Did the LEA require third-party contractor(s) associated with the Title III, Part A – LEP program to break out administrative costs, which were included in the 2% limitation? [P.L. 107-110, Section 9201]	If compliance status is Yes , <u>list</u> the source(s) of documentation readily available to document compliance with the requirement. To support a “Yes” response, the LEA should have copies of any third-party contracts, requiring the break-out of administrative costs; the LEA should also have Title III, Part A – LEP budget documents detailing program and administrative costs, including the administrative costs from any third-party contracts. The only reason an LEA could justify a compliance status of “N/A” would be that the LEA <u>did not have</u> any third-party contracts. The LEA should write the following: “LEA did not have any did not have any third-party contracts associated with the Title III, Part A – LEP program.”
Use of Funds – LEP Program	
7. Did all Title III, Part A – LEP staff who were split-funded with other funds maintain appropriate time and effort records?	If compliance status is Yes , <u>list</u> the source(s) of documentation readily available to document compliance with the requirement. To support a “Yes” response, the LEA should have the following: <ul style="list-style-type: none"> • a list of staff paid with Title III, Part A – LEP funds, including percentage of time spent working in program and sufficient information to indicate the work or duties carried out, as appropriate; • Documentation for charges to payroll, as required in the applicable EDGAR cost principle. The LEA could justify a response of “N/A” only if the LEA had <u>no</u> staff who were split-funded with Title III, Part A – LEP funds and other funds. The LEA should write the following: “LEA had no staff who were split-funded with Title III, Part A – LEP and other funds.”
8. Did the LEA maintain control of Title III, Part A – LEP program funds being used to provide equitable services to private school ELL students and their teachers? [P.L. 107-110, Section 9501(d)]	If compliance status is Yes , <u>list</u> the source(s) of documentation readily available to document compliance with the requirement. To support a “Yes” response, the LEA should have written procedures for approving and processing Title III, Part A – LEP expenditures related to services to private schools, as well as accounting records showing the

Program Implementation Question	Compliance Status Response
	<p>approved expenditures according to the LEA's written procedures.</p> <p>The only reason an LEA could justify a compliance status of "N/A" in response to this question would be that the LEA <u>did not have</u> participating private non-profit schools. The LEA should write the following: "LEA did not have any participating profit nonprofit schools in the Title III, Part A – LEP program."</p>
Administrative Costs – Immigrant Program	
<p>9. When calculating administrative costs for the Title III, Part A – Immigrant program, did the LEA include all appropriate administrative costs, including both indirect and direct costs such as administrative salaries?</p> <p>[P.L. 107-110, Section 9201]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>To support a "Yes" response, the LEA should have Title III, Part A – Immigrant budget documents detailing program and administrative costs.</p> <p>The only reason an LEA could just justify a compliance status of "N/A" would be that the LEA <u>did not have</u> administrative costs related to the implementation of the Title III, Part A – Immigrant program. The LEA should write the following: "LEA did not use Title III, Part A – Immigrant funds for administrative costs."</p>
Use of Funds – Immigrant Program	
<p>10. Did all Title III, Part A – Immigrant staff who were split-funded with other funds maintain appropriate time and effort records?</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>To support a "Yes" response, the LEA should have the following:</p> <ul style="list-style-type: none"> • a list of staff paid with Title III, Part A – Immigrant funds, including percentage of time spent working in program and sufficient information to indicate the work or duties carried out, as appropriate; • Documentation for charges to payroll, as required in the applicable EDGAR cost principle. <p>The LEA could justify a response of "N/A" only if the LEA had <u>no</u> staff who were split-funded with Title III, Part A – Immigrant funds and other funds. The LEA should write the following: "LEA had no staff who were split-funded with Title III, Part A – Immigrant and other funds."</p>
<p>11. Did the LEA maintain control of Title III, Part A – Immigrant program funds being used to provide equitable services to private school immigrant students and their teachers?</p> <p>[P.L. 107-110, Section 9501(d)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>To support a "Yes" response, the LEA should have written procedures for approving and processing expenditures related to Title III, Part A – Immigrant services to private schools, as well as accounting records showing the</p>

Program Implementation Question	Compliance Status Response
	<p>approved expenditures according to the LEA's written procedures.</p> <p>The only reason an LEA could justify a compliance status of "N/A" in response to this question would be that the LEA <u>did not have</u> any participating private non-profit schools in the Title III, Part A – Immigrant program. The LEA should write the following: "LEA did not have any participating private nonprofit schools in the Title III, Part A – Immigrant program."</p>
<p>12. Did the LEA's Title III, Part A – Immigrant-funded programs provide enhanced instructional opportunities for immigrant children and youth? [P.L. 107-110, Section 3551(e)]</p>	<p>If compliance status is Yes, <u>list</u> the source(s) of documentation readily available to document compliance with the requirement.</p> <p>To support a "Yes" response, the LEA should have a description in the LEA and/or campus plans that shows how the Title III, Part A – Immigrant program enhances instructional opportunities for immigrant children and youth. The LEA should also have documentation that the program beneficiaries are immigrant children and youth.</p> <p>It is highly unlikely that an LEA receiving Title III, Part A – Immigrant funds could justify a compliance status of "N/A" in response to this question.</p>

School Choice Option (Title IX, Sec. 9532)

Program Implementation Question	Compliance Status Response
Program Coordination/Integration	
<p>1. The district, as a condition of receiving funds under the No Child Left Behind Act, established and implements a policy requiring that:</p> <ul style="list-style-type: none"> • a student attending a persistently dangerous public elementary school or secondary school (as determined by the Texas Education Agency), or • a student who becomes a victim of a violent criminal offense, while in or on the grounds of a public elementary or secondary school that the student attends, <p>is offered and allowed to attend a safe public elementary or secondary school within the local educational agency, including a public charter school.</p> <p>Note: If another campus is not available within the LEA, the policy should provide for other types of services to ensure the safety of the student. In addition, the LEA is encouraged to attempt to secure a cooperative agreement with another LEA to accept transfers when reasonable and appropriate.</p> <p>[P.L. 107-110, Section 9532]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of "Yes".</p> <p>It is highly unlikely that an LEA receiving these funds could justify a compliance status of "NA" in response to this question.</p>
<p>2. The LEA notified parents that their students may transfer to a safe public school—</p> <ul style="list-style-type: none"> • at least within 14 calendar days of the start of the school year for students enrolled in a persistently dangerous school, or • generally, within 14 calendar days of the incident for students who are victims of a violent criminal act. <p>[P.L. 107-110, Section 9532]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of "Yes".</p> <p>An LEA could justify a compliance status of "NA" in response to this question only if BOTH of the following conditions apply:</p> <ul style="list-style-type: none"> • The LEA has no campuses identified as Persistently Dangerous Schools; <p>AND</p> <ul style="list-style-type: none"> • The LEA had no students who were victims of a violent crime on campus. <p>In this case, check the box in Part 3.2.B that states "The LEA had no campuses identified as Persistently Dangerous schools and the LEA had no students who were victims of a violent crime."</p>

Program Implementation Question	Compliance Status Response
<p>3. If the district consolidates administrative funds for NCLB programs, the district does not use any other funds under the NCLB programs included in the consolidation for administration for the fiscal year of the consolidation.</p> <p>[P.L. 107-110, Section 9203(c)]</p>	<p>On the compliance report, select the type of auditable documentation that an LEA has readily available upon request to support a compliance status of "Yes".</p> <p>The only reason an LEA could justify a compliance status of "NA" in response to this question would be that the LEA does not consolidate administrative funds for NCLB programs.</p> <p>In this case, check the box in Part 3.3.B that states "The LEA does not consolidate administrative funds for NCLB programs."</p> <p>NOTE: The Agency will check the 2015-16 NCLB Consolidated Federal Grant Application BS6001 – Budget Summary and Support – Part 1: Available Funding to determine whether the LEA chose to consolidate administrative funds for any fund source.</p>